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UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
10/17/96, 940 80706797	05/22/97	CHARLES	10/17/96, 940 80706797

10/17/96, 940 80706797 CHARLES

10/17/96, 940 80706797

10/22/97 05005

NOT ASST. GRANTED

CHARLES
HAMILTON BROOK SMITH & REYNOLDS
200 N WELLS DRIVE
LEXINGTON, KY 40513-0209

10/1

DATE MAILED:

10/22/97

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 130.00 for a large entity small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
 large entity small entity (verified statement filed), is \$ 130.00.

1. The statutory basic filing fee is:

missing.
 insufficient.

Applicant must submit \$ 130.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ 130.00, including any multiple dependent claim fees, are required.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing.
 does not cover the newly submitted items.
 does not identify the application to which it applies.
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are:

missing.
 by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or déclaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$ 130.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825"

9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

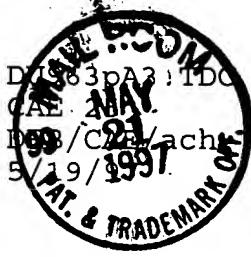
FORM PTO-1533 (REV.7-96)

PART 2-COPY TO BE RETURNED WITH RESPONSE

U.S. GPO: 1996

10/17/97 BSTEM/0000036
FC:225

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PATENT APPLICATION
Docket No.: DUK96-03pA3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jonathan S. Stamler and Andrew J. Gow

Serial No.: 08/796,164

Filed: February 6, 1997

For: MODIFIED HEMOGLOBINS, INCLUDING
NITROSYLHEMOGLOBINS, AND USES THEREFOR

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

on 5/19/97

Anita Heckman

Date

Signature

Anita Heckman
Typed or printed name of person signing certificate

TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY

Box Missing Part
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated May 5, 1997, enclosed herewith are two executed Declaration/Power of Attorney documents (6 sheets) for filing in the captioned application. A copy of the Notice is attached together with a check in the amount of \$65.00

in payment of the surcharge for a Small Entity. A Verified Statement was filed on April 3, 1997.

Please charge Applicant's Attorney's Deposit Account No. 08-0380 for any additional fees that may be due in this matter. Two duplicate copies are enclosed for that purpose.

Respectfully submitted,

Carol A. Egner

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Lexington, Massachusetts 02173

Date: *May 19, 1997*